Attorney Docket No.: Divisional of Application No. INFORMATION DISCLOSURE 049923-5015-02 10/143,885 CITATION (Use several sheets if necessary) Applicant: William K. BURNS et al. PAGE 1 of 1 **PTO Form 1449** Filing Date: June 25, 2003 Group Art Unit: 2882 (anticipated). U.S. PATENT DOCUMENTS *Examiner Document Sub Initial Number Date Name Class Class Filing Date 5,949,944 Sep. 7, 1999 Minford et al. 385 .131 Oct. 2, 1997 4,701,008 350 96.12 Oct. 20, 1987 Richard et al. Aug. 10, 1984 5,388,170 :: 02/07/95 Heismann et al. 385 385 .2 5,404,412 04/04/95 Seino et al. 5,949,944 09/07/99 Minford et al. 385 131 FOREIGN PATENT DOCUMENTS Sub <u>Translation</u> Document YES NO Date Country Class Class Number 1 Aug. 11, 1993 **EPO** EP 0 554 593 A1 EP 0.717 306 A1 Jun. 19, 1996 EPO . OTHER DOCUMENTS (Including Author; Title, Date, Pertinent Pages, Etc.) Wei-Ching Chung et al., "A Comparison of the Performance of LiNbO3 Traveling-wave Phase Modulators with Various Diclectric Buffer Layers, Journal of Optical Communications 14 (1993) August, No. 4, Berlin, DE C.H. BULMER et al., "Pyroelectric Effects in LiNbO3 Channel-Waveguide Devices," Applied Physics Letters, Vol. 48, No. 16, (April) 1986, pages 1036-1038. I. SAWASAKI et al., "Thermally Stabilized Z-cut Ti:LiNbO3 Waveguide Switch," Conference on Lasers and Electro-optics, paper MF2, San Francisco, (June) 1986, pages 46-47. Perry SKEATH et al., "Novel Electrostatic Mechanism in the Thermal Instability of Z-cut LiNbO₃ Interferometers," *Applied Physics Letters*, Vol. 49, No. 19, (November) 1986, pages 1221-1223. G.K. GOPALAKRISHNAN et al., "Performance and Modeling of Broadband LiNbO, Traveling Wave Optical Intensity Modulators," Journal of Lightwave Technology, Vol. 12, No. 10, (October) 1994, pages 1807-1819. **Date Considered** Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not Examiner:

in conformance and not considered. Include copy of this form with next communication to applicant.